

NOTICE TO  
INTERESTED PARTIES

1. Notice to: All employees of Montefiore Medical Center who are eligible to participate in the Montefiore Medical Center Health Services Retirement Plan (the "Plan")

An application is to be made to the Internal Revenue Service for an advance determination on the continuing qualification of the following employee pension benefit plan:

2. Montefiore Medical Center Health Services Retirement Plan  
(name of Plan)

3. 003  
(Plan number)

4. Montefiore Medical Center  
c/o Health Services Retirement Plan  
555 West 57<sup>th</sup> Street – Suite 1532  
New York, NY 10019-2925  
(name and address of applicant)

5. 13-1740114  
(applicant EIN)

6. Montefiore Medical Center  
c/o Health Services Retirement Plan  
555 West 57<sup>th</sup> Street – Suite 1532  
New York, NY 10019-2925  
(name and address of Plan administrator)

7. The application will be filed on January 23, 2014, for an advance determination as to whether the Plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 with respect to the Plan's continuing qualification.

The application will be filed with:

EP Determinations  
Internal Revenue Service  
P.O. Box 12192  
Covington, KY 41012-0192

8. All regular employees of Montefiore Medical Center are eligible to participate in the Plan, including employees who were participants at the time another pension or retirement Plan was made available to that class of employees and who were given the opportunity, and did elect, to remain participants of the Plan other than: (i) employees who are covered by a collective bargaining agreement that does not provide for Plan participation; and (ii) leased employees. The following categories of employees are also excluded from Plan participation: (i) employees who are eligible for participation in another pension Plan [Code Section 401(a) or 403(b)] to which Montefiore Medical Center contributes, except as provided in the preceding sentence; (ii) employees who are eligible for participation in another pension Plan [Code Section 401(a) or 403(b)] which is the subject of collective bargaining, except as provided in the preceding sentence; (iii) Students; and (iv) all members of the House Staff (interns, residents and fellows).

9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this Plan.

#### RIGHTS OF INTERESTED PARTIES

10. You have the right to submit your comments as to whether this Plan meets the qualification requirements of the Internal Revenue Code to EP Determinations at the above address, either individually or jointly with other interested parties.

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the Plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

#### REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this Plan is 10. If you request the Department to comment, your comment must be in writing and must specify the matters upon which comments are requested, and must also include:

- (1) the information contained in items 2 through 5 of this Notice; and

(2) the number of persons (10) needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary  
Employee Benefits Security Administration  
ATTN: 3001 Comment Request  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

### COMMENTS TO THE INTERNAL REVENUE SERVICE

12. Comments submitted by you to EP Determinations must be in writing and received by March 9, 2014. However, if there are matters that you request the Department of Labor to comment on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 9, 2014, whichever is later, but not after March 24, 2014. A request to the Department to comment on your behalf must be received by it by February 7, 2014, if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 17, 2014, if you wish to waive that right.

### ADDITIONAL INFORMATION

13. Detailed instructions regarding the requirements for notification of interested parties may be found in sections 17 and 18 of Revenue Procedure 2008-6. Additional information concerning this application (including where applicable, an updated copy of the Plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Service; and copies of section 17 of Revenue Procedure 2008-6) are available from the Plan Administrator at Health Services Retirement Plan, re: Montefiore Medical Center, 555 West 57<sup>th</sup> Street – Suite 1532, New York, NY 10019-2925, between the hours of 10:00 AM and 4:00 PM for inspection and copying. (There is a nominal charge for copying and/or mailing).